Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 0001/PTO U.S. Department of Commerce **Application Number** 10/071,797 Rev. 10/95 Patent and Trademark Office February 6, 2002 Filing Date TRANSMITTAL FORM First Named Inventor Timothy V. Travaille Confirmation No. 2867 e used for all correspondence during pendency of Group Art Unit Number 2876 filed application) **Examiner Name** Not yet known Total Number of Pages in This Submission Attorney Docket Number 19502-06553 **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [ ] Sheet(s) of Figure(s) [ ] Copy of Notice to File Missing Parts Appeal Communication to Board of Appeals and Declaration Interferences Power of Attorney Appeal Communication to Group Information Disclosure Statement & PTO-1449 (Appeal Notice, Brief, Reply Brief) Copies of IDS Cited References Certified Copy of Priority Document(s) Request for Refund After Allowance Communication to Group **Application Data Sheet** Amendment/Response: [ ] Page(s) After Final Status Request Revocation and Power of Attorney REMARKS:.\*\* IDS references are not included in total pages submitted. SIGNATURE OF ATTORNEY OR AGENT Signature: Attorney/Reg. No.: Robet A. Hulse, Reg. No. 48,473 Dated: CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX NON-FEE AMENDMENT, Commissioner for Patents, Refund Section, Washington, D.C. 20231 on the date shown below Signature:

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19502/06553/SF/5075032.1

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Dated:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Timothy V. Travaille

APPLICATION NO.

10/797,071

FILING DATE:

February 4, 2002

TITLE:

Interactive Electronic Voting by Remote Broadcasting

CONFIRMATION

2867

**EXAMINER:** 

Not yet known

**GROUP ART UNIT:** 

2876

ATTY. DKT. NO.:

19502-06553

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## **CERTIFICATE OF MAILING**

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Robert A. Hulse Reg. No.: 48,473

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## INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewithes modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

| $\boxtimes$ | within three months of the filing date of the application, or date of entry     |  |  |  |  |  |  |  |  |
|-------------|---|--|--|--|--|--|--|--|--|
|             | into the national stage of an international application, or before the mailing  |  |  |  |  |  |  |  |  |
|             | date of a first office action on the merits, whichever event last occurred;     |  |  |  |  |  |  |  |  |
|             | before the mailing of a first official action after the filing of a request for |  |  |  |  |  |  |  |  |
|             | continued examination (RCE) under 37 CFR § 1.114;                               |  |  |  |  |  |  |  |  |
|             | fter three months of the filing date of this national application or the date   |  |  |  |  |  |  |  |  |
|             | of entry of the national stage in an international application, or after the    |  |  |  |  |  |  |  |  |
|             | mailing date of the first official action on the merits, whichever event last   |  |  |  |  |  |  |  |  |
|             | occurred, but before the mailing date of the first to occur of either: (1) a    |  |  |  |  |  |  |  |  |
|             | final action under 37 CFR §1.113; or (2) an action that otherwise closes        |  |  |  |  |  |  |  |  |
|             | prosecution in the application, and:  |  |  |  |  |  |  |  |  |
|             | attached hereto is the fee set forth under 37 CFR §1.17(p) for                  |  |  |  |  |  |  |  |  |
|             | submission of this Information Disclosure Statement under 37                    |  |  |  |  |  |  |  |  |
|             | CFR.§ 1.97(c); OR   |  |  |  |  |  |  |  |  |
|             | ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:                        |  |  |  |  |  |  |  |  |
|             | each item of information contained in this Information                          |  |  |  |  |  |  |  |  |
|             | Disclosure Statement was first cited in a communication                         |  |  |  |  |  |  |  |  |
|             | from a foreign patent office in a counterpart foreign                           |  |  |  |  |  |  |  |  |
|             | application not more than three months prior to the filing of                   |  |  |  |  |  |  |  |  |
|             | this Statement; OR  |  |  |  |  |  |  |  |  |
|             | no item of information contained in this Information                            |  |  |  |  |  |  |  |  |
|             | Disclosure Statement was cited in a communication from a                        |  |  |  |  |  |  |  |  |
|             | foreign patent office in a counterpart foreign application                      |  |  |  |  |  |  |  |  |
|             | and, to the knowledge of the person signing this                                |  |  |  |  |  |  |  |  |
|             | certification after making reasonable inquiry, no item of                       |  |  |  |  |  |  |  |  |
|             | information contained in this Statement was known to any                        |  |  |  |  |  |  |  |  |
|             | individual designated under 37 CFR § 1.56(c) more than                          |  |  |  |  |  |  |  |  |
|             | three months prior to the filing of this Statement;                             |  |  |  |  |  |  |  |  |
|             | before the payment of the issue fee but after the mailing date of the first to  |  |  |  |  |  |  |  |  |
|             | occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action      |  |  |  |  |  |  |  |  |
|             | that otherwise closes prosecution in the application, and:                      |  |  |  |  |  |  |  |  |
|             | Applicant certifies pursuant to 37 CFR. § 1.97(e) that:                         |  |  |  |  |  |  |  |  |
|             | each item of information contained in this Information                          |  |  |  |  |  |  |  |  |
|             | Disclosure Statement was cited in a communication from a                        |  |  |  |  |  |  |  |  |
|             | foreign patent office in a counterpart foreign application no                   |  |  |  |  |  |  |  |  |

|             |   |                       |           | more than three months prior to the filing of this Statement;   |  |  |  |  |  |  |
|-------------|---|-----------------------|-----------|---|--|--|--|--|--|--|
|             |   |                       |           | or  |  |  |  |  |  |  |
|             |   |                       |           | no item of information contained in this Information            |  |  |  |  |  |  |
|             |   |                       |           | Disclosure Statement was cited in a communication from a        |  |  |  |  |  |  |
|             |   |                       |           | foreign patent office in a counterpart foreign application      |  |  |  |  |  |  |
|             |   |                       |           | and, to the knowledge of the person signing this                |  |  |  |  |  |  |
|             |   |                       |           | certification after making reasonable inquiry, no item of       |  |  |  |  |  |  |
|             |   |                       |           | information contained in this Statement was known to any        |  |  |  |  |  |  |
|             |   |                       |           | individual designated under 37 CFR § 1.56(c) more than          |  |  |  |  |  |  |
|             |   |                       |           | three months prior to the filing of this Statement; AND         |  |  |  |  |  |  |
|             |   | П                     | attache   | ed hereto is the fee set forth under 37 CFR §1.17(p) for        |  |  |  |  |  |  |
|             |   | <b>—</b> .            |           | ssion of this Information Disclosure Statement under 37         |  |  |  |  |  |  |
|             |   |                       | CFR.§     | 1.97(c); OR   |  |  |  |  |  |  |
|             |   | after tl              | •         | ent of the issue fee. Applicant request that the information    |  |  |  |  |  |  |
|             | _   |                       |           | his Information Disclosure Statement be placed in the file      |  |  |  |  |  |  |
|             |   |                       |           | 7 CFR § 1.97(i), although the information may not be            |  |  |  |  |  |  |
|             |   |                       | •         | the USPTO.  |  |  |  |  |  |  |
| Π.          | This a  |                       | -         | s, under 35 U.S.C. § 120, on the earlier filing date of prior   |  |  |  |  |  |  |
| _           |   | • •                   |           | LICATION NUMBER], filed on [FILING DATE], and the               |  |  |  |  |  |  |
|             | references cited therein are hereby referenced, but are not required to be provided |                       |           |   |  |  |  |  |  |  |
|             |   |                       |           | der 37 CFR § 1.98(d).   |  |  |  |  |  |  |
| $\boxtimes$ |   |                       |           | at no fee is required for the consideration of this Information |  |  |  |  |  |  |
| _           | • •   | Disclosure Statement. |           |   |  |  |  |  |  |  |
| Consi       | deration  | of the                | listed re | ferences and favorable action are solicited.                    |  |  |  |  |  |  |
|             |   |                       |           |   |  |  |  |  |  |  |
|             |   |                       |           | Respectfully submitted,   |  |  |  |  |  |  |
|             |   |                       |           | TIMOTHY V. TRAVAILLE  |  |  |  |  |  |  |
|             | ,   | ,                     |           |   |  |  |  |  |  |  |
| -           | 5/8   | 102                   |           | By:   |  |  |  |  |  |  |
|             |   |                       |           | Robert A. Hulse, Reg. No.: 48,651                               |  |  |  |  |  |  |
|             |   |                       |           | Fenwick & West LLP  |  |  |  |  |  |  |
|             |   |                       |           | Two Palo Alto Square Palo Alto, CA 94306                        |  |  |  |  |  |  |
|             |   |                       |           | Tel.: (415) 875-2444  |  |  |  |  |  |  |
|             |   |                       |           | Fax.: (415) 281-1350  |  |  |  |  |  |  |
|             |   |                       |           | 19502/06553/SF/5068000.1  |  |  |  |  |  |  |

Dated:



| Sheet_1_of_1                                 |                     |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|--|---------------------|--------------------------------|--------------|--------------------|--|---------------------------------------|--|----------------------------|--|--|--|--|
| FORM PTO<br>(REV. 6-89)                      |                     | U.S. DEPARTI                   | MENT OF COMM |                    | Attorney's Docket No. Application No. 19502-06553 10/071,797 |                                       |  | 71,797                     |  |  |  |  |
| INFORMATION DISCLOSURE CITATION Applicant    |                     |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|  | PE                  | 11.                            |              |                    | Timothy V. Travaille   |                                       |  |                            |  |  |  |  |
| \r   | . `                 | (Use several sheets if nece    | essary)      |                    | Filing Date Group Art Unit February 6, 2002 2876             |                                       |  | 876                        |  |  |  |  |
| February 6, 2002 2876  U.S. PATENT DOCUMENTS |                     |                                |              |                    |  |                                       |  |                            |  |  |  |  |
| Examiner Initi                               | al                  | Cument Number                  | Date         |                    | Name   | Class                                 | Subclass                                 | Filing Date If Appropriate |  |  |  |  |
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|  | A2                  | 4,015,106                      | 03/29/77     | · De               | e Phillipo   | 235                                   | 54                                       |                            |  |  |  |  |
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|  | A7 5,719,619        |                                | 02/17/98     | Hattori et al.     |  | 348                                   | \$ 0 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | , 11 <u>3</u>              |  |  |  |  |
| -  | A8                  | 5,875,432                      | 02/23/99     |                    | Sehr   | 705                                   | 공12 <u>등</u>                             | (ED)                       |  |  |  |  |
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|  | - <del>''</del> - ' | <u> </u>                       | OREIGN       | PATENT D           | OCUMENTS   | · · · · · · · · · · · · · · · · · · · |  |                            |  |  |  |  |
| <u>.</u>                                     |                     | Document<br>Number             | Date         |                    | Country  | Class                                 | Subclass                                 | Translation<br>Yes No      |  |  |  |  |
|  | B1                  |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|  | B2                  |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|  | В3                  |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|  |                     | OTHER DOCU                     | JMENTS (     | Including Author   | r, Title, Date, Pertiner                                     | nt Pages, E                           | tc.)                                     |                            |  |  |  |  |
|  | C1                  | ****                           |              |                    |  |                                       |  |                            |  |  |  |  |
|  | C2                  | <del></del>                    |              | <del></del>        | _  | -RE                                   | CEIV                                     | ED                         |  |  |  |  |
|  | C3<br>C4            |                                |              |                    |  | · ·                                   | AUG 0 8 2                                | 2002                       |  |  |  |  |
| C5   |                     |                                |              |                    |  |                                       |  |                            |  |  |  |  |
|  | C6                  |                                |              |                    |  | GR                                    | OUP (                                    | 3600                       |  |  |  |  |
| EXAMINER                                     |                     |                                |              | DATE C             | ONSIDERED  |                                       |  |                            |  |  |  |  |
|  |                     | nces considered, whether or no |              | formance with MPEF | § 609; Draw line through c                                   | itation if not in                     | conformance and                          | d not considered.          |  |  |  |  |

PTO-1449 REV: 12/96